

Practitioner's Docket No. 1999DE133/C1/D

PATENT

Preliminary Classification:  
 Proposed Class:  
 Subclass:

10/807634  
2857 USPTO

032404

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop: Patent Application  
 Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

**Inventor(s): Joachim WEBER, Felix Wendelin GRIMM and Erwin DIETZ**

**For (title): Pigment Dispersants Based on Diketopyrrolopyrrole  
 Compounds and Pigment Preparations**

**1. Type of Application**

This transmittal is for a divisional application under 37 CFR Section 1.53(b).

**2. Benefit of Prior U.S. Application (35 U.S.C. Sections 119(e), 120, or 121)**

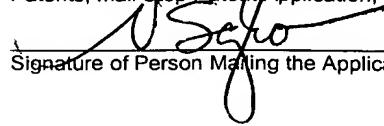
**The new application being transmitted claims the benefit of prior U.S. application Serial No. 10/375,573, filed February 27, 2003, which is a continuation application of co-pending US Application Serial No. 09/727,960, filed December 1, 2000.**

CUSTOMER NO. 25,255CERTIFICATION UNDER 37 CFR 1.10

Express Mail Label Number ER654097247US

**Date of Mailing March 24, 2004**

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Commissioner for Patents, Mail Stop Patent Application, P. O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 CFR 1.10.

  
 Signature of Person Mailing the Application

Vicki L. Sgro  
 Typed Name of Person Mailing the Application

CUSTOMER NO. 25,255

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

**3. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

**37 Pages of Specification**

**8 Pages of Claims**

**0 Sheet of Drawing--Formal**

**B.** Other Papers Enclosed

**2 Pages of declaration and power of attorney**

**1 Page of abstract**

**10 Pages of Preliminary Amendment**

**1 Page Certification Under 37 CFR 1.10**

**4. Declaration or Oath**

Copy of the executed Declaration filed in SN: 10/375,573 is enclosed.

**5. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**6. Language**

English

**7. Assignment**

The parent application is assigned to Clariant GmbH and the Assignment is being filed by facsimile today, March 24, 2004. Also, recordation regarding the present divisional application is being submitted herewith, along with an Assignment Recordation Transmittal.

**8. Fee Calculation (37 C.F.R. Section 1.16)**  
Regular Application

**CLAIMS AS FILED**

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$770.00
<b>Total Claims</b> (37 CFR 1.16(c))	8	- 20 =	0 x	\$18.00	\$00.00
<b>Independent Claims</b> (37 CFR 1.16(b))	1	- 3 =	0 x	\$86.00	\$0.00
<b>Multiple Dependent Claim(s), if any</b> (37 CFR 1.16(d))			+ \$290.00		\$0.00

Filing Fee Calculation	\$770.00
Fee for filing Recordation of Assignment	\$ 40.00

**9. Fee Payment Being Made at This Time**

Enclosed

**Filing Fee** \$810.00  
**Total Fees Enclosed** \$810.00

## **10. Method of Payment of Fees**

Charge Account No. 03-2060 in the amount of \$810.00.  
A duplicate of this transmittal is attached.

## **11. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 03-2060.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

**12. Instructions as to Overpayment**

Credit Account No. 03-2060.

**13. Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

This application is divisional application of co-pending US Application Serial No. 10/375,573, filed February 27, 2003, which is a continuation application of co-pending US Application Serial No. 09/727,960, filed December 1, 2000.

**14. Relate Back--35 U.S.C. Section 119 Priority Claim for Prior Application**

The prior U.S. application, including any prior International Application designating the U.S., identified above in item 13, in turn itself claims foreign priority as follows:

Country: Germany

Application No.: 199 58 181.9 filed on December 2, 1999.

The certified copy of the priority document for which priority is claimed was filed on December 1, 2000. A copy of the Transmittal and first page of the certified document is enclosed.

**15. Maintenance of Copendency of Prior Application**

A. The Parent application SN 10/375,573 was allowed on December 24, 2003, and the issue fee was paid by facsimile on March 24, 2004.

**16. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.

Date: March 24, 2004

Reg. No.: 40,913

Tel. No.: 704-331-7151

Customer No.: 25,255

Anthony A. Bisulca

Signature of Practitioner

Anthony A. Bisulca

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Express Mail Label Number ER654097247US**

**Date of Mailing March 24, 2004**

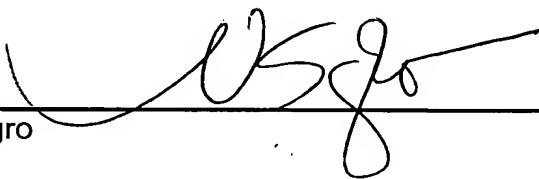
**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that on the date indicated above, this NEW Divisional PATENT APPLICATION TRANSMITTAL and the papers indicated as enclosed therein, of:

**Inventor(s): Joachim WEBER, Felix Wendelin GRIMM and Erwin DIETZ**

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